

**Approach paper to the  
Scoping Analysis on Livelihood Generation for Recovery  
with special emphasis on the Agricultural Sector**

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## Introduction

The State of Assam lies at the heart of North eastern region which is one of the most hazard prone regions in the Asian continent, with different areas being prone to multiple hazards like earthquake, flood, landslide and cyclonic storm. Assam falls in the highest rainfall intensity zone of the country where average annual rainfall ranges from 1750 mm in the plains to 6400 mm in the hills making the State very highly prone to floods. On the other hand destabilisation of hill slope, non-regulated land use practice has been causing landslide, affecting lives and property of many people. Again, the entire State falls in Zone V, the most vulnerable seismic zone.

Vulnerability to natural disasters combined with socio-economic vulnerability of the people living in the State pose a great challenge to the government machinery and underscores the need for disaster preparedness, prevention, mitigation and response. In fact, the Assam State Disaster Management Authority (ASDMA) is mandated and has been working towards disaster preparedness, prevention, and mitigation activities in the State.

Flood is an annual event in the State and it causes huge losses due to damages to infrastructure – roads, bridges, embankments etc., and loss of livelihood for farmers, artisans and wage labourers. Floods not only affect the people and their property but also make the poor even poorer since it also affects the livelihood specially the agriculture and allied activities. Assam being an agrarian economy, large population is dependent upon agriculture and allied activities. In fact one of the concerns of the community has been the need to have appropriate interventions for livelihood generation in the recovery phase so that they can overcome the challenges of floods through sustainable livelihood options.

The key characteristics of agricultural activities in Assam that could influence/increase its vulnerability to floods are (i) the high level of subsistence agriculture with small land holdings (ii) majority of agriculture is rain-fed (iii) frequent occurrence of floods and drought spell and (iv) the wide variation in agricultural productivity across the country.

The Assam State Disaster Management Authority therefore proposes to conduct a study on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector.

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**Scope of work:**

Conduct a scoping study on livelihood generation for recovery with special emphasis on agriculture sector with the following :

- i. Study the present livelihood patterns of the flood affected community with special focus on agriculture.
- ii. Assess the impact of floods on livelihood sources and options of the affected population.
- iii. Present coping / adaptive practices adopted for livelihood by agro based community
- iv. Nature and efficacy of livelihood generation support available under existing government programmes.
- v. Recommend measures for strengthening the economic resilience of the rural communities.

**Objective:**

1. To understand the economic vulnerability of flood affected agrarian population of the State of Assam.
2. To show case the relationship between people's livelihood resilience and their adaptive capacities.
3. To examine and recommend measures for sustainable, adaptive and resilient practices for restoration of livelihood options.
4. To advocate for appropriate practice and policies for risk reduction in rural economy.

**Coverage:**

One of the most flood affected districts in each of the four Divisions of Assam viz Upper Assam, North Assam, Central Assam and Lower Assam Divisions will be covered under the study and impact of flood will be assessed in the context of the multiple drivers of rural economy through the lens of both primary and secondary data, involving interviews and focus group discussions with victims and agencies responsible to help them, critical review of the government programmes and analysis of existing literature on possible and viable solutions.

**Deliverable:**

A comprehensive report detailing (i) Impact of floods on livelihood options (ii) Nature and efficacy of governmental and non-governmental efforts / programmes (iii) Challenges and (iv) Specific recommendations for (a) Policy (b) Planning and (c) Implementation

**Time line:**

The entire exercise should be completed within two months (60 days).



**REQUEST FOR PROPOSAL**

**RFP No** : RGR/ASDMA.26/13/Pt/51

**RFP on** : Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector

**Issued By:** Assam State Disaster Management Authority

**Issue Date:** 9<sup>th</sup> September

**Submission Last Date:** 7<sup>th</sup> October 2014 (4:00 P.M.)

**Contact Person:** Chief Executive Officer  
Assam State Disaster Management Authority  
Ancillary Block  
Assam State Secretariat  
Dispur, Guwahati-781 006

**Summary of Proposal:**

This Request for Proposal is issued by the Assam State Disaster Management Authority to obtain proposal from Agencies/Institutions/Consultants for preparation of a report on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector

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**Table of Contents**

1. Request for Proposal Terminology	3
2. Executive summary	4
3. Terms of Reference and Eligibility Criteria	5
4. RFP Process	6
5. Instruction to Applicant	7
6. Evaluation of Proposal	9
7. General	14
8. ANNEXURE 1 - UNDERTAKING	15
9. ANNEXURE 2, 3 & 4 Format for TECHNICAL BID	16
10. ANNEXURE - 5 format for FINANCIAL BID	19

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## 1. REQUEST FOR PROPOSAL TERMINOLOGY

Throughout this document, the following definitions apply:

- a) "Applicant" means a party that submits, or intends to submit, a Proposal;
- b) "Work Order" means the written order resulting from this RFP issued by the Authority;
- c) "The Authority" means the Assam State Disaster Management Authority;
- d) "Must", or "mandatory" means a requirement that must be met in order for a Proposal to receive consideration;
- e) "Proposal" means a proposal submitted in response to this RFP;
- f) "RFP" means this Request for Proposal and
- g) "Should" or "desirable" means a requirement having a significant degree of importance to the objectives of RFP.



## 2. EXECUTIVE SUMMARY

### Background

The State of Assam lies at the heart of North eastern region which is one of the most hazard prone regions in the Asian continent, with different areas being prone to multiple hazards like earthquake, flood, landslide and cyclonic storm. Assam fall in the highest rainfall intensity zone of the Country where average annual rainfall ranges from 1750 mm in the plains to 6400 mm in the hills making the State very highly prone to floods. On the other hand destabilisation of hill slope , non-regulated land use practice has been causing landslide affecting lives and property of many people. Again, the entire State falls in Zone V, the most vulnerable seismic zone.

Vulnerability to natural disasters combined with socio-economic vulnerability of the people living in the State pose great challenge to the government machinery and underscores the need for a disaster preparedness, prevention, mitigation and response activities at different level. Floods not only affect the people and their property but also make the poor even poorer since it also affects the livelihood specially the agriculture and allied activities. Assam being an agrarian economy, the affect has been severe in each ears flood. This underscores the need for appropriate intervention in different level including the farmers themselves to overcome the challenges of sustainable livelihood options.

The State Disaster Management Authority (ASDMA) in Assam which has been fully functional with since 2009 has been working towards disaster preparedness, prevention, mitigation and response activities in the State.

One of such effort has been the implementation of a project viz. "Enhancing Institutional & Community Resilience to Disaster and Climate Change" under the Government of India & United Nations Development Programme. One of the project components of this project is to conduct a study and preparation of a report on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector.



### 3. TERMS OF REFERENCE :

#### A) Scope of work:

Conduct of a study and prepare a report on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector in three districts of Assam viz. Dhemaji, Morigaon and Barpeta.

#### Scope Elements:

- i. Prepare a detailed report on the study "Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector". The final report must be submitted within three months (90 days) after the award of the assignment.
- ii. Review various documents, reports and other publications or survey documents related to livelihood generation and recovery with special emphasis on the agriculture and allied sector in view of Disaster Risk including climate change impacts and adaptation. This includes review of literature in public library, books and web resources.
- iii. Conduct detailed consultations with stakeholders viz. farmers, farming families, NGOs, Government functionaries of agriculture, fishery, Panchayat & Rural Development etc.
- iv. Assess the agriculture and allied sector production trend with special reference to the impact of climate change, disaster risk on agro allied productivity
- v. Capture innovative practices / alternate practices that have been applied to adapt to the changing situations

#### 3. B) Eligibility criteria and Experience:

- i. Minimum 3 years of experience in working in relevant field
- ii. Experience of carrying out similar studies related to disaster risk reduction and livelihood projects
- iii. Submit at least one completed report on similar assignment.
- iv. Ability and experience of delivering quality reports within given timelines;

**Note:** Agency must provide necessary supporting documents as proof in respect of the eligibility criteria mentioned above.

#### 3. C) Time line

The entire exercise should be completed within two months (60 days).



**4. RFP Process:**

- i. The Authority is the Work Order issuing authority as relates to this RFP.
- ii. This RFP is illustrative in nature and all narrations are intended to be used by the applicant as a preliminary background explanation. This RFP does not necessarily contain all relevant information and the Authority reserves the right to amend its requirements or the information contained in this document at any time during the RFP process.
- iii. The Authority offer no warranties in regard to the information contained in this RFP and shall not be liable for any loss or damage as relates to this RFP for any applicant, potential applicant or any other third party arising as a result of reliance on this RFP's information or any subsequent communication.
- iv. If the Authority decides to select an applicant for the services, at that time a detailed Work Order will be issued to the applicant selected. This Work Order will not be made available until the selection of a successful applicant.
- v. Neither the RFP document nor any other related document shall constitute a contract or agreement with Authority, except as where specifically referenced in **ANNEXURE – 1**.
- vi. The Authority reserves the right to disqualify any applicant who provides information which later proves to be incorrect, or which does not supply the information required by this RFP.
- vii. The Authority will not be liable for any costs of any applicant participating in this RFP.
- viii. The submission of a response to this RFP by any applicant or potential applicant confirms the applicant or potential applicant's acceptance of all terms and conditions of this RFP.
- ix. Respondents to this RFP or their agents may not make any contact with any party employed or directly associated with the Authority as relates to this RFP. Any clarifications and all information will be via e-mail only to [asdmaghy@gmail.com](mailto:asdmaghy@gmail.com)



## 5. INSTRUCTIONS TO APPLICANT

### A. General Requirements

- i) The response to RFP is required to address all technical requirements contained within this RFP.
- ii) Only proposals submitted strictly in accordance with the RFP Documents or as may be required by the Authority will be considered as valid proposals by the Authority.
- iii) The RFP is not a Work Order. A separate Work Order will be made available only after selection of the preferred applicant.
- iv) All information supplied by the Authority in connection with this RFP shall be treated as confidential.

### B. Timetable

The RFP timetable is given below. The Authority retains the right to vary or discontinue the process or any part thereof at its absolute discretion.

<i>Activity</i>	<i>Date</i>
Issue of RFP Document	9 <sup>th</sup> September 2014
Deadline for Proposal submission	7 <sup>th</sup> October 2014
Opening of Technical Bid	Will be notified later
Opening of Financial Bid	Will be notified later

### C. Submission of RFP

- i. Potential Applicant must complete and sign the Undertaking at **ANNEXURE - 1**
- ii. Only one original form of proposal signed by the authorised signatory in ink is required by the Authority. No typed or pencil signatures will be accepted.
- iii. Applicants are required to submit one printed original hard copy of the proposal document and one electronic copy in Microsoft Word. The printed copy of the documents will be taken to be correct if there is any inconsistency between the versions.
- iv. The proposal submitted must be without any overwriting, corrections, double typing, etc.
- v. Applicant will submit their proposal in three parts. The first part will contain documents relating to eligibility criteria and the second part will contain the documents relating to technical proposal. The third part will contain the financial proposal.
- vi. The documents containing the Eligibility Criteria, Technical Proposal and Financial Proposal should be submitted in three separate sealed envelope marked "**Eligibility Criteria**", "**Technical Proposal**" & "**Financial Proposal**".
- vii. The three sealed envelopes should then be submitted in one sealed envelope super scribed with the wordings "**Confidential RFP Document - Do not open**" and this envelope should be clearly marked "**Request for Proposal for Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector**".

- viii. The cover thus prepared should also indicate clearly the name, address and telephone number of the Tenderer to enable the Bid to be returned unopened in case it is declared "Late".
- ix. The Eligible Criteria, Technical Bid and Financial Bid should be a complete document and should be bound as a volume separately. The document should be page numbered and appropriately flagged and contain the list of contents with page numbers. The deficiency in documentation may result in the rejection of the Bid.
- x. The sealed envelope containing the proposal must be received in the office of the Chief Executive Officer by **4.00 PM** on any working day up to **7<sup>th</sup> October 2014**. Envelopes /documents received after the stated time and date will be rejected.

**D. Preparation of RFP Document:**

**D. 1. Technical Bid:**

- i. The technical proposal will have the detailed design of the Study and the report as per the TOR already stated at point 2 above.
- ii. The approach to be adopted in conducting the study should be provided in detail by the consultants. The sampling procedures, source of information, framework of the study, monitoring indicators to be adopted, expected outputs and outcomes etc. should also be provided in detail.
- iii. In the technical bid, the tenderer is required to provide details of the key personnel (who are proposed to be deployed on the project) and clearly identify the competencies that they bring with them on the project.
- iv. Details of team structure should be laid out in the technical bid with the CVs of all the listed personnel in a summary table.
- v. The tenderer is also expected to showcase past experience in undertaking similar studies.

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**Form for Technical Bid is given at ANNEXURE 2, 3 & 4.**

**D. 2. Financial Bid:**

- i) The financial bid will be the Overall Cost of the study and report there on as laid down in the Terms of Reference.
- ii) Tenderer should provide all prices as per the prescribed format under this Form. Tenderer should not leave any field blank. In case the field is not applicable, Tenderer must indicate "0" (Zero) in all such field.
- iii) All the prices are to be entered in Indian Rupees INR (%age values are not allowed).
- iv) It is mandatory to provide breakup of all Taxes, Duties and Levies wherever applicable and/or payable.
- v) The final Financial Bid of the Tenderer shall be inclusive of all Taxes, Duties and Levies including Service Tax, etc.
- vi) Authority shall take into account all Taxes, Duties & Levies for the purpose of Evaluation.

**The Financial Bid Summary Form is given at ANNEXURE – 5**

**D. 3. Period of Validity**

Proposals must remain open for acceptance for a minimum of 90 days.

**6. EVALUATION OF PROPOSAL**

The evaluation would consist of following phases:

- Phase I: Evaluation of Eligibility Criteria.
- Phase II: Evaluation of Technical Bids.
- Phase III: Evaluation of Financial Bids.
- Phase IV: Combined Evaluation of Technical and Financial Bids.

**Phase I: Evaluation of Eligibility Criteria:**

In this part, the Agency will be evaluated for the fulfillment of the conditions specified in the **Eligibility Criteria** under Clause 4.

**Phase II: Evaluation of Technical Bids:**

- 1) In this part the technical bid of only those agencies who have qualified the Phase I i.e **Eligibility Criteria** will be evaluated.

The technical bid will be analyzed and evaluated on:

- a) Content & Concept.
- b) Outline of the Study , methodology and outline of the report
- c) Time line for project completion.

2) The technical bid will be analyzed and evaluated and the technical bid marks shall be assigned to each bid on the basis of following evaluation matrix

Bid Component	Weightage in Technical Score	Minimum Qualification Score
Content & Concept	50	30
Outline of the Study , methodology and outline of the report	50	30

3) Analysis of technical bid

- i. In this part, the technical bid will be analyzed and evaluated and the technical bid marks ( $S_{tm}$ ) shall be assigned to each bid on the basis of following above evaluation matrix
- ii. Each competency group will have Minimum Qualification Score and only those Technical Bids receiving marks greater than or equal to cut-off marks in each competency group will be eligible for consideration in financial bids. If required, the Authority may seek specific clarifications from any or all Tenderer(s) at this stage. The Authority shall determine the Tenderer that qualify for the next phase after reviewing the clarifications provided by the Tenderer(s).
- iii. Technical Bid Score: The Technical Bid Score 'St' of the Tenderer shall be derived as under

$$S_t = (S_{tm}/S_H), \text{ where}$$

$S_t$  is the Technical Bid Score

$S_{tm}$  = Total technical bid marks of the bid under consideration

$S_H$  = Highest total technical bid marks amongst all evaluated bids

4) The Authority reserves the right to modify the evaluation process at any time during the Tender process, without assigning any reason, whatsoever, and without any requirement of intimating the Tenderer of any such change. At any time during the process of evaluation the Authority may seek specific clarifications from any or all Tenderer.

#### Phase III: Evaluation of Financial Bids:

In this phase, the Financial Bids of the Tenderer, who are technically qualified in Phase II, shall be opened. Formula to determine the scores for the Financial Bids shall be as follows

$$S_f = (F_L / F),$$

Where

$S_f$  is the Financial Score

$F_L$  is the value of lowest Commercial Bid

F is the price quoted in the bid under consideration

**Phase IV: Combined Evaluation of Technical & Financial Bid**

- i. The Total score of the Tenderer will be determined as under

$$\text{Total Score (T}_s\text{)} = (70 \times S_t) + (30 \times S_f)$$

- ii. The Bid of the Tenderer, who obtains the highest  $T_s$  value, will be rated as the best Bid. In the event of a tie, the bid with the highest technical score ( $S_t$ ) will be rated as the best bid. Beyond that, Authority will decide the matter in its full discretion.
- iii. The Authority will award the Contract to the successful Tenderer whose bid has been determined to be substantially responsive and has been determined as the best bid, provided further that the Tenderer is determined to be qualified to perform the Contract satisfactorily. The Authority shall however not bind itself to accept the best bid or any bid and reserves the right to accept any bid, wholly or in part.

**7. Right to Vary Scope of work at the time of Award:**

The Authority may at any time, by a written order given to the Tenderer, make changes within the general scope of the Work. If any such change causes an increase or decrease in the cost of, or the time required for, the Tenderer's performance of any part of the work, whether changed or not changed by the order, an equitable adjustment shall be made in the agreed Price or delivery schedule, or both, and the Work Order shall accordingly be amended. Any claims by the Tenderer for adjustment under this Clause must be asserted within 15 days from the date of the Tenderer's receipt of the Authority's changed order.

**8. Right to accept any Bid and to reject any or all Bids:**

The Authority reserves the right to accept any bid, and to annul the Tender process and reject any or all bids at any time prior to award of work, without thereby incurring any liability to the affected Tenderer or Tenderer or any obligation to inform the affected Tenderer or Tenderer of the grounds for the Authority's action.

**9. Notification of Award:**

Prior to the expiration of the period of bid validity, the Authority will notify the successful Tenderer by e-mail or in writing, by registered letter that its bid has been accepted.

**10. Issuing the Work Order:**

At the same time as the Authority notifies the successful Tenderer that its bid has been accepted, the Authority will send the Work Order, incorporating its requirements including the conditions laid down in the RFP. Within 7 days of receipt of the Work Order, the successful Tenderer shall sign and date the Work Order and return a copy to the Authority as a token of acceptance of the requirements laid down.

**11. Performance Guarantee:**

The successful agency will execute a Performance Guarantee for **10 %** of the total value of the contract in the form of Account Payee Demand Draft or a Bank Guarantee from a Nationalized Commercial Bank in an acceptable form. The Performance Guarantee should remain valid for a period of 60 days beyond the date of completion of the project.

**12. Confidentiality of the Document:**

This Tender is confidential and anything contained in this Tender shall not be disclosed in any manner, whatsoever.



### 13. Rejection Criteria:

Besides other conditions and terms highlighted in the Tender document, bids may be rejected under following circumstances:

- Incomplete bids that do not quote for the complete Scope of Work as indicated in the bidding documents, addendum (if any) and any subsequent information given to the Tenderer
- Bids providing information that are found to be incorrect/ misleading at any stage / time during the Tendering Process
- Technical Bid containing financial details
- Bids that reveal prices in any form or by any reason before opening the Financial Bid
- Bids not submitted in Two Bid systems in separate envelopes.
- Bids in which the total lump sum price quoted by the Tenderer is not inclusive of all taxes, duties, fees, levies, works contract tax and other charges
- Bids made through Telex /Telegraphic / Fax/E. Mail
- Bids which do not confirm unconditional validity of the bid for 90 days from the date of opening of bid
- Bids where prices are not firm during the entire duration of the contract and / or with any qualifications
- Bids that do not confirm unconditional acceptance of full responsibility of executing the 'Scope of Work' of this Tender
- Bids in which the Tenderer seeks to influence the Authority's bid evaluation, bid comparison or contract award decisions.

### 14. General

i) Tenderer shall not make any alteration / changes in the bid after the closing time and date. Unsolicited correspondences from Tenderer will not be considered.

ii) If at any stage of Tendering process or during the currency of the Work, any suppression / falsification of such information is brought to the knowledge of the Authority, the Authority shall have the right to reject the bid or cancel the Work Order, as the case may be, without any compensation to the Tenderer.

iii) The Tenderer shall deem to have complied with all clauses in the Tender under all the sections/chapters of the Bidding document, unless otherwise stated in the deviation statement. Evaluation will be carried out on the available information in the bid.

iv) Any other point, which may arise at the time of evaluation, will be decided by Authority for assessment of the bids.

#### v) Other important Information

- a) The ownership of the Website and all its elements will at all times vest with Authority and the agency will have no proprietary or other rights or other rights in respect of the same



- b) The Authority is not bound to accept the lowest or any tender or to assign any reason for non-acceptance. The Authority reserves its right to accept the RFP either in full or in part. Conditional Bids will be rejected outright.
- c) The Authority reserves the Right to place an order for the full or part quantities under any items of work under Scope of work
- d) After issue of the Work Order the Performance Guarantee will be forfeited in case of undue delays in performance by the agency.
- e) The Authority reserves the right to cancel the Work Order of any agency/ agencies in case of change in the procedures or unsatisfactory services.
- f) In the event of any dispute, the tribunals and courts in Guwahati will have the exclusive jurisdiction in respect of all matters pertaining to the agreement between the Consultant/Organization/Institute and the Authority.

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**UNDERTAKING**

TO: The Chief Executive Officer  
Assam State Disaster Management Authority  
Assam State Secretariat  
Dispur, Guwahati

I/We \_\_\_\_\_

Of (insert business address)

\_\_\_\_\_

\_\_\_\_\_

Hereby submit our proposal in response to the Request for Proposal (RFP) for the study on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector and preparation of the report and undertake to execute and complete the services as we will be reasonably required to be performed, in accordance with our proposal, the RFP requirements and the final work order to be issued by the Authority.

This RFP shall remain valid to be accepted by the Authority and shall not be withdrawn for a period of 90 days from \_\_\_\_\_.

I/We understand that the Authority reserves the right to accept / reject any application and the selection is at their sole discretion.

Authorized Signature

Name in full:

Agency Name:

Title:

Date:

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**ANNEXURE - 2**

**(On Company/Firm Letter Head)**  
**TECHNICAL BID**  
**REQUEST FOR PROPOSAL FOR WEBSITE**

**Form A: Technical Bid - Content & Concept**

In this section the Tenderer is expected to showcase understanding of the basic Content & Concept of the Authority.



**Annexure - 3**

**Form B: Technical Bid - Outline of the Study, methodology and outline of the report**

In this section the Tenderer is expected to provide the arrangement format on different databases like flood, erosion, earthquake reports related to all districts of Assam on the Website.

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**Annexure - 4**

**Form C: Technical Bid – Time line for Completion of the Project:**

In this section the Tenderer is expected to provide Project completion period for the project study on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector and preparation of the report

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(On Company/Firm Letter Head)  
Financial Bid

Sl.No.	Description	Value in INR
1	Field study on Scoping Analysis on Livelihood Generation for Recovery with special emphasis on the Agricultural Sector and preparation of the report	
2	Preparation of report	
3	Taxes	
	a. _____ Tax @ __%	
	b. _____ Tax @ __%	
4	Miscellaneous Expenses 1	
	Miscellaneous Expenses 2	
	Miscellaneous Expenses 3	
	Miscellaneous Expenses ...	
5	Taxes if any on Miscellaneous Expenses	
	_____ Tax @ __%	
	_____ Tax @ __%	
<b>Total Commercial Bid (In Figures)</b>		
<b>Total Commercial Bid (In Words)</b>		

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